

North East Derbyshire District Council

Audit and Corporate Governance Scrutiny Committee

27 November 2019

Committee Work Programme 2019/2020

Report of the Joint Head of Corporate Governance and Monitoring Officer

This report is public

Purpose of the Report

- To enable the Audit and Corporate Governance Scrutiny Committee to consider an appropriate Work Programme for the municipal year 2019/2020.

1 Report Details

- 1.1 The Audit and Corporate Governance Scrutiny Committee considers a range of financial and governance issues on a regular basis. Given the number of matters that are examined by the Committee it is appropriate that an Annual Work Programme continues to be in place. This programme forms part of the report on the Scrutiny Committees Work Programmes 2019/2020. This has been submitted to Cabinet on 5 September 2019.
- 1.2 The Work Programme is set out in the attached **Appendix 1**. It should be recognised that the work plan is at this stage an indicative one to which matters may be added or removed as appropriate.
- 1.3 The Work Programme enables Members to give structured consideration as to whether the proposed agenda items are appropriate and serve to meet the objectives of the Committee. That question needs to be considered in the light of the Council's Constitution, Chartered Institute of Public Finance and Accountancy (CIPFA) Guidance on the role of an Audit Committee and established good practice.

2 Conclusions and Reasons for Recommendation

- 2.1 To enable the Committee to consider its Work Programme for 2019/2020.

3 Consultation and Equality Impact

- 3.1 There are no consultation and equality impact matters arising directly from the content of this report.

4 Alternative Options and Reasons for Rejection

4.1 There are no other options proposed.

5 Implications

5.1 Finance and Risk Implications

The development of a Work Programme for the Audit and Corporate Governance Scrutiny Committee will provide an appropriate structure to assist and support the Committee's work. This will help to ensure that the Committee continues to operate effectively and that the Council's governance/scrutiny and accountability arrangements remain robust. The Programme is designed to allow the Audit and Corporate Governance Scrutiny Committee to continue its flexible approach to its work and consider the range of matters which are within its remit.

There are no financial issues arising from the report.

5.2 Legal Implications including Data Protection

There are no legal issues or Data Protection matters arising directly from this report.

5.3 Human Resources Implications

There are no Human Resource issues arising from the report.

6 Recommendations

6.1 That the Committee notes and endorses the Audit and Corporate Governance Scrutiny Work Programme 2019/2020 as set out in the attached **Appendix 1**.

7 Decision Information

Is the decision a Key Decision? A Key Decision is an executive decision which has a significant impact on two or more District wards or which results in income or expenditure to the Council above the following thresholds: <i>BDC:</i> <i>Revenue - £75,000</i> <input type="checkbox"/> <i>Capital - £150,000</i> <input type="checkbox"/> <i>NEDDC:</i> <i>Revenue - £100,000</i> <input type="checkbox"/> <i>Capital - £250,000</i> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <i>Please indicate which threshold applies</i>	No
Is the decision subject to Call-In? (Only Key Decisions are subject to Call-In)	No
District Wards Affected	None Directly
Links to Corporate Plan priorities or Policy Framework	High Performing Council

8 Document Information

Appendix No	Title
1	Audit and Corporate Governance Scrutiny Committee Work Programme 2019/2020
Background Papers (These are unpublished works which have been relied on to a material extent when preparing the report. They must be listed in the section below. If the report is going to Cabinet (NEDDC) or Executive (BDC) you must provide copies of the background papers)	
N/A	
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AGIN9(1127)2019 - Work Programme

**AUDIT AND CORPORATE GOVERNANCE SCRUTINY COMMITTEE: PROPOSED
WORK PROGRAMME 2019/2020**

<u>DATE OF MEETING</u>	<u>ITEM</u>
25 July 2019	<ul style="list-style-type: none"> • Report of Those Charged with Governance ISA260 • NEDDC Statement of Accounts 2018/19 • Financial Outturn 2018/19 • Quarter 1 Financial Monitoring 2019/20 • Performance Management Quarter 1 2019/20 • Internal Audit Charter • Summary of Progress on the Annual Internal Audit Plan 2019/20
11 September 2019	<ul style="list-style-type: none"> • Annual Audit letter 2018/19 (External Auditor) • Presentation by Arlingclose – Treasury Management and Investment Opportunities • Strategic Risk Register and Partnership Arrangements • Work Programme • Role of the Head of Internal Audit
27 November 2019	<ul style="list-style-type: none"> • Summary of Internal Audit Reports 2019/20 • Revised Budget 2019/20 • Monitoring the Implementation of Internal Audit Recommendations • Evaluate the Effectiveness of the Audit and Corporate Governance Committee • Performance Management Q2 2019/20 • Quarter 2 Financial Monitoring 2019/20 • Strategic Risk Register and Partnership Arrangements • Corporate Debt – Quarter 2 • Review of Terms of Reference • Work Programme
30 January 2020	<ul style="list-style-type: none"> • Medium Term Financial Plan 2020/21 – 2023/24 • Treasury Management Strategies 2020/21 – 2023/24 • Proposed Accounting Policies 2019/20 • Summary of Progress on the Annual Internal Audit Plan 2019/20 • Fighting Fraud and Corruption Locally • Performance Management Q3 2019/20 • Corporate Debt Q3 • Financial Procedures within Constitution Review • Work Programme
30 April 2020	<ul style="list-style-type: none"> • Annual Review of Effectiveness of Internal Audit • Report of the External Auditor – Audit Plan 2020/21 • Report of the External Auditor – Progress report and Technical Update • Internal Audit Plan • Corporate Plan – Quarter 4 • Performance Management Quarter 4 2019/20

	<ul style="list-style-type: none"> • Strategic Risk Register and Partnership Arrangements • Performance Management Quarter 4 - 2019/20 • Annual Governance Statement • Work Programme
28 May 2020	<ul style="list-style-type: none"> • Internal Audit Consortium: Annual Report 2017/2018 • Summary of Internal Audit Reports Issued 2017/2018 • Annual governance Statement 2017/18 • Strategic Risk Register and Partnership arrangements • Performance Management Quarter 4 2017/18 • Monitoring of implementation of internal audit recommendations